# VIJAY PATEL, CFA, FRM, CIPM

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## SKILLS/LICENSES

FINRA Series 65
Bloomberg, FactSet, Morningstar Direct
Programming- Java, SQL, VBA, HTML
Microsoft Office Applications (Excel, Word, Access, etc.)

## WORK/EXPERIENCE

**City National Rochdale (An RBC Company)** — **New York, NY**
Portfolio Analyst — October 2019 – Present

- Responsible for trade implementation and strategic rebalancing of new and existing client accounts
- Implement tactical investment decisions from a broad range of individual securities

**Bank of New York Mellon** — **New York, NY**
Senior Analyst — April 2018 – October 2019

- Managed the unsponsored ADR business by researching foreign securities, conducting equity valuation, establishing new ADR programs, eliminating low-performing securities, and conducting competitor analysis
- Analyzed market information in order to sell securities/rights and performed Foreign Exchange (FX) conversions
- Presented research and market analysis on unsponsored ADRs to the division's Business Risk Committee on a quarterly basis
- Executed all corporate actions announced by Depositary Receipt (DR) clients (i.e. mergers, acquisitions, share consolidations/stock splits, name changes)
- Prepared internal and external announcements; interacted with intermediaries (DTCC/Euroclear/Clearstream/SEC), stock exchanges (LSE, NYSE, NASDAQ), and foreign custodians to disseminate information and carry out corporate actions

**Harriman Capital Partners** — **New York, NY**
Equity Research Analyst *(3 Month Contract)* — June 2017 – September 2017

- Managed structured equity research team to initiate coverage on payment processing industry
- Delivered equity research reports, target prices, and buy/hold/sell signals on 17 companies
- Utilized fundamental valuation techniques (i.e. discounted cash flow analysis, peer group analysis, and multiples analysis) to determine intrinsic value

**BPV Capital Management** — **Knoxville, TN**
Analyst — February 2014 – August 2016

- Managed $180 million of AUM in an options-based hedged equity strategy (BPV Wealth Preservation Fund)
- Monitored portfolio holdings with a focus on initiating, optimizing, and closing option strategies
- Participated in client meetings for both capital raising and periodic performance updates
- Developed risk management models to evaluate the risk/return profiles of various trades and option strategies
- Executed equity and option trades utilizing Jefferies' JPrime brokerage
- Reviewed pre and post trade Transaction Cost Analysis
- Covered US equities with sector focuses on technology, healthcare, staples, and utilities
- Created market and equity research reports for the portfolio managers and investment committee
- Composed the market commentary disseminated to fund shareholders in quarterly letters

**Tennessee Valley Authority** — **Knoxville, TN**
Portfolio Manager — August 2013 – May 2014

- Actively managed a portfolio consisting of over $355,000 in domestic equity securities by conducting bottom-up equity research with a focus on large cap value
- Presented portfolio performance and fund strategy to TVA executives and reported a 32.4% return from January-November 2013

## EDUCATION

**University of Tennessee,** *Cum Laude* — **Knoxville, TN**
**Major:** Finance & Economics **Minor:** Accounting — Class of 2014
**GPA:** 3.53/4.00

**London School of Economics** — **London, UK**
**Study Abroad:** Options, Futures, and Other Derivatives — June - August 2013